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Combining carbon-ion irradiation and PARP inhibitor, Olaparib efficiently kills BRCA1 mutated
 Triple-negative breast cancer cells

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### 1 Abstract

## 2 Background

3	Triple-negative breast cancer (TNBC) exhibits poor prognosis due to the lack of targets for hormonal or
4	antibody-based therapies, thereby leading to limited success in the treatment of this cancer subtype. Poly
5	(ADP-ribose) polymerase 1 (PARP1) is a critical factor for DNA repair, and using PARP inhibitor (PARPi) is
6	one of the promising treatments for BRCA mutated (BRCA mut) tumors where homologous recombination
7	repair is impaired due to BRCA1 mutation. Carbon ion (C-ion) radiotherapy effectively induces DNA damages
8	in cancer cells. Thus, the combination of C-ion radiation with PARPi would be an attractive treatment for
9	BRCA mut TNBC, wherein DNA repair systems can be severely impaired on account of the BRCA mutation.
10	Till date, the effectiveness of C-ion radiation with PARPi in BRCA mut TNBC cell killing remains unknown.
11	
12	Purpose
13	TNBC cell lines carrying either wild type BRCA1, BRCA wt, (MDA-MB-231) or the BRCA1 mutation

14 (HCC1937) were used, and the effectiveness of PARPi, Olaparib, combined with C-ion beam or the 15 conventional radiation, or X-ray, on TNBC cell killing were investigated.

- 16
- 17 *Methods*

First, effective concentrations of Olaparib for BRCA mut (HCC1937) cell killing were identified. Using these
 concentrations of Olaparib, we then investigated their radio-sensitizing effects by examining the surviving

1	fraction of MDA-MB-231 and HCC1937 upon X-ray or C-ion irradiation. In addition, number of $\gamma$ H2AX
2	(DSB marker) positive cells as well as their expression levels were determined by immunohistochemistry, and
3	results were compared between X-ray irradiated or C-ion irradiated cells. Furthermore, PARP activities in these
4	cells were also observed by performing immunohistochemistry staining for poly (ADP-ribose) polymer
5	(marker for PARP activity), and their expression differences were determined.
6	
7	Results
8	Treatment of cells with 25 nM Olaparib enhanced radio-sensitivity of X-ray irradiated HCC1937, whereas
9	lower dose (5 nM) Olaparib showed drastic effects on increasing radio-sensitivity of C-ion irradiated HCC1937
10	Similar effect was not observed in MDA-MB-231, not possessing the BRCA1 mutation. Results of
11	immunohistochemistry showed that X-ray or C-ion irradiation induced similar number of $\gamma$ H2AX positive
12	HCC1937 cells, but these induction levels were higher in C-ion irradiated HCC1937 with increased PARP
13	activity compared to that of X-ray irradiated HCC1937. Elevated induction of DSB in C-ion irradiated
14	HCC937 may fully activate DSB repair pathways leading to downstream activation of PARP, subsequently
15	enhancing the effectiveness of PARPi, Olaparib, with lower doses of Olaparib exerting noticeable effects in
16	cell killing of C-ion irradiated HCC1937.
17	

18 Conclusions

1	From the present study, we demonstrate that C-ion irradiation can exert significant DSB in BRCA mut TNBC,
2	HCC1937, with high PARP activation. Thus, PARPi, Olaparib, would be a promising candidate as a radio-
3	sensitizer for BRCA mut TNBC treatment, especially for C-ion radiotherapy.
4	

- 5 Key Words
- 6 Tiple negative breast cancer, PARP, C-ion beam, Olaparib, Radio-sensitizer, Chemoradiotherapy

### 1 Abbreviations

- 2 BC: breast cancer
- 3 BER: base excision repair system
- 4 BRCA mut: BRCA1 mutation
- 5 BRCA wt: BRCA wild type
- 6 C-ion: carbon ion
- 7 DLT: dose-limiting toxicities
- 8 DSB: double strand breaks
- 9 ER: estrogen receptor
- 10 FBS: fetal bovine serum
- 11 LET: linear energy transfer
- 12 NER: nucleotide excision repair
- 13 NHEJ: non-homologous end-joining system
- 14 HR: homologous recombination
- 15 Ola: Olaparib
- 16 PARP: Poly (ADP-ribose) polymerase
- 17 PARPi: PARP inhibitor
- 18 PR: progesterone receptor
- 19 PS: penicillin/streptomycin

- 1 RT: radiotherapy
- 2 SSB: single strand breaks
- 3 TNBC: triple-negative breast cancer

#### 1 Introduction

2 Breast cancer (BC) is the most commonly occurring cancer in women. The number of new cases per year is 3 more than 93,000 in Japan, and 14,839 women succumbed to this disease in 2019<sup>1</sup>. Tumor stage, tumor grade, 4 hormone receptor status and HER2 status are commonly used to make prognosis and treatment decisions for BC patients<sup>2</sup>, and major subtypes of BC are approximated by the joint expression of the hormone receptors, 5 6 estrogen receptor (ER) and progesterone receptor (PR), and HER2 amplification status. Among all the subtypes 7 of BC, triple-negative breast cancer (TNBC), which shows approximately 15-20% of all BC, is recognized as 8 one of the most difficult BC to treat<sup>3</sup>. Due to the lack expression of ER, PR, and no amplification of HER2 9 gene, TNBC does not respond to hormonal or antibody-based targeted therapies, which causes limited success 10 in treatment and management of this disease. 11 Genomic instability is one of the enabling characteristics of tumor development<sup>4</sup>. Since DNA repair 12 genes play a significant role in maintaining genomic integrity, defects in these DNA repair genes are often 13 found in tumor. In fact, germline mutations in the BRCA1 gene, which plays a key role in DNA double-strand break repair via homologous recombination (HR)<sup>5</sup>, are associated with TNBC, and approximately 70% of 14 BRCA1 mutated (BRCA mut) BC are diagnosed as TNBC <sup>6</sup>. Studies have reported that Poly (ADP-ribose) 15 16 polymerase (PARP) inhibition is a promising approach for the targeted treatment of BRCA-deficient tumors <sup>7</sup>.

PARP1 is a critical factor for several DNA repair mechanisms such as nucleotide excision repair (NER) and
base excision repair system (BER) for DNA single strand breaks (SSB), and non-homologous end-joining
system (NHEJ) for DNA double strand breaks (DSB)<sup>8-10</sup>. PARP1 senses DNA strand breaks and transfers ADP-

1	ribose units from NAD+ onto adjacent nuclear proteins (Poly(ADP-ribosyl)ation of proteins) that recruit
2	repairing enzymes to the site of DNA repair <sup>7, 9</sup> . The collapse in such DNA repair machineries using PARP
3	inhibitor (PARPi) can lead to severe DNA damages via stalling of replication forks and generation of
4	irreparable DNA DSBs <sup>11</sup> . It is known that such DSBs can only be repaired by HR that involves factors such
5	as BRCA1/2 <sup>12</sup> , thus, PARPi can induce severe cytotoxicity in BRCA mut tumors <sup>7, 13</sup> . Proof-of-principle,
6	studies of Olaparib, a potent oral PARPi, have shown monotherapy activity and acceptable toxicity in patients
7	with ovarian or breast cancer who have a germline BRCA1 or BRCA2 mutation <sup>10, 14</sup> , and Olaparib has recently
8	been approved by the US FDA and the European Commission for use as an anti-cancer drug for BRCA mutated
9	ovarian cancers <sup>15</sup> .
10	The National Institutes for Quantum and Radiological Science and Technology (QST) (formerly
11	known as The National Institute of Radiological Sciences, NIRS) in Japan, started their first clinical trial for
12	cancer treatment with carbon-ion (C-ion) beam in 1994. Among all high linear energy transfer (LET) radiations,
13	C-ion beam is becoming increasingly popular for the treatment of malignant tumors because of its high dose-
14	local distribution in the body <sup>16</sup> , achieving 90% or higher 5-year local control in some cases and 90% or higher
15	5-year patient survival <sup>17</sup> . We have also shown the merit of C-ion radiotherapy (RT) for breast cancer in a phase
16	I clinical trial that was initiated in 2013 <sup>18, 19</sup> . However, some malignant tumors exhibit resistance to
17	radiotherapy, and hence, candidates for use as radiosensitizers have been investigated in many studies <sup>20</sup> . DNA-
18	repair in cancer cells constitutes a major factor responsible for tumor resistance to radiotherapy. Therefore,
19	inhibiting DNA repair in tumor cells by interfering with the functioning of DNA repair enzymes such as PARP1

1	is a rational therapeutic strategy to enhance the effects of radiation, and could potentially develop into a new
2	treatment strategy for TNBC. In fact, several studies have shown the effectiveness of PARPi as a radiosensitizer
3	for X-ray irradiated cancer cells including TNBC cell lines <sup>21-23</sup> . Such effectiveness was especially noticeable
4	in BRCA mut cells with high induction of DSBs and enhanced apoptosis <sup>21, 22</sup> , Loap <i>et al.</i> recently have started
5	the RADIOPARP phase 1 trial to investigate the dose-limiting toxicities (DLT) and the maximum tolerated
6	dose of PARPi combined with locoregional radiation therapy of TNBC <sup>24</sup> . In another report, Hirai <i>et al.</i> have
7	demonstrated in an in vitro study that the PARPi can be an effective radiosensitizer for C-ion radiation in
8	human pancreatic cancer cells <sup>25</sup> , but so far, effective radiosensitizers for C-ion radiation therapy for TNBC
9	have not been reported. Since irradiation of tumors with C-ion radiation has advantages over the use of
10	conventional photons with enhanced biological effects due to higher LET; C-ion beam can induce 2- to 3-fold
11	greater cytotoxic effects in cancer cells, including severe DNA damages <sup>26</sup> . Thus, the combination of C-ion
12	beam with PARPi would be an attractive treatment specially for BRCA mut TNBC, where DNA repair systems
13	can be severely defective due to the BRCA mutation.
14	In this study, we used TNBC cell lines carrying either wild type (BRCA wt) or the mutant BRCA1
15	(BRCA mut), and identified the effectiveness of the combination of PARPi, Olaparib, and C-ion beam in TNBC
16	cell killing especially in BRCA mutated TNBC cells.

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3	Cells	and	reagents
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4	Two human TNBC cell lines, MDA-MB-231 (BRCA wt) and HCC1937 (BRCA mut) were purchased from
5	ATCC (Manassas, VA, USA). Both cell lines were cultured in RPMI 1640 (Nacalai, Tokyo, Japan)
6	supplemented with 10% fetal bovine serum (FBS) (HyClone, UT, USA), and 1% penicillin/streptomycin (PS)
7	(Gibco, MD, USA). PARPi, Olaparib, was purchased from ChemScene (NJ, USA), and used for this study at
8	a concentration range of 5 nM to 1000 nM.

#### 10 Irradiation

11 Cells were irradiated with C-ion beams accelerated by HIMAC at NIRS, QST. The initial energy of C-ion beams was 290 MeV/u, and the LET value was 80 keV/ $\mu$ m; a mono-energetic beam with a narrow Bragg Peak 12 13 was applied at a depth of 10 cm, and cells were irradiated with 0, 0.5, 1, 1.5 or 2 Gy. For a comparison with the C-ion beams, 200- kV X-rays with 0, 1, 2, 3 or 4 Gy were used. X-rays were produced by PANTAC HF320-14 S X-ray generator (Shimadzu, Kyoto, Japan) at 200 kV, and 20 mA, and filtered with 0.5 mm Al and 0.5 mm 15 16 Cu. All irradiations were carried out at a dose rate of approximately 1 Gy/min at room temperature. Cells were 17 cultured on Falcon T25 flask (BD Falcon, NJ, USA) for 2 to 3 days before irradiation and cell cultures at about 50 to 60% confluence were irradiated. 18

#### 1 High-density survival assay (HDS)

2 The HDS assay was carried out as described by Karasawa et al., with some modifications <sup>27</sup>. Briefly, cells with 3 about 50 to 60% confluence were irradiated, and the cells were kept in culture for an additional 3 days. Cells 4 of each flask were then trypsinized and x1/8 of cells for MDA-MB-231 and HCC1937 were plated onto new 5 T25 flasks and subcultured further for 5 days. Eight days after exposure to radiation, cells were photographed 6 with bright field microscope (Keyence, Osaka, Japan). Cells were then trypsinized, and the number of cells 7 was counted with a hematocytometer. Outline of the experimental procedure for HDS assay after irradiation 8 with Olaparib treatment was summarized in Figure 1A. 9 Survival curves were fitted to the experimental data by regression analysis using the following linear quadratic equation <sup>28</sup>: 10 SF = exp ( $-\alpha D - \beta D^2$ ) 11 12 where SF is the surviving fraction and D is radiation dose (Gy). 13 14 Immunofluorescence labeling and image acquisition

Immunofluorescence labeling, and image acquisition were performed as described previously, with some modifications <sup>29</sup>. Briefly, cells were fixed with 4% paraformaldehyde in phosphate-buffered saline (PBS; Nissui Pharmaceutical Co., Ltd.; Tokyo, Japan) for 15 min, and given three washes with PBS. Cells were then blocked with PBS containing 5% fetal calf serum and 0.3% Triton X100, followed by incubation with primary antibody for 30 min at room temperature. The primary antibodies against phospho-Histone H2A.X at Ser139

1	(20E3) (Cell Signaling Technology., MA, USA), γH2AX, a marker for DNA damage <sup>30</sup> , and poly (ADP-ribose)
2	polymer (Tulip BioLabs, PA, USA), PAR polymer, a marker of PARP activity <sup>31</sup> , were suspended in PBS
3	containing 1% FCS and 0.3% Triton X100 at 1:100. Dilution, and used for the assay. Cells were then treated
4	with AlexaFluor 488- or AlexaFluor 555-labeled anti-mouse IgG or anti-rabbit IgG secondary antibodies
5	(Invitrogen, Carlsbad, USA) for 30 min at room temperature. The slides were mounted with ProLong Gold
6	Antifade Reagent containing the nuclear counterstain DAPI (Invitrogen). Fluorescent signal was visualized
7	and photographed with a BZ-9000 fluorescence microscope (Keyence, Osaka, Japan) using a $20\times$ Plan
8	fluorescence lens (N.A 0.45) with BZ filters for GFP-B, TRTIC, and DAPI. Representative images were
9	uniformly processed in Adobe Photoshop using the brightness and contrast tools.
10	
11	Counting of γH2AX+ PAR+ or γH2AX+ PAR- cells
12	Immunofluorescence labelled cells were used to count the number of yH2AX and/or PAR polymer positive
13	cells. DAPI images were first used to count the number of cell nuclei per image, representing the total number
14	of cells per image. Next, the anti-yH2AX or anti-PAR polymer antibody-stained cells were counted, and the
15	number of $\gamma$ H2AX and PAR polymer positive cells ( $\gamma$ H2AX+ PAR+), and $\gamma$ H2AX+ positive, PAR polymer
16	negative cells (yH2AX+ PAR-) were quantified. The ratio of yH2AX+ PAR+ or yH2AX+ PAR- within total
17	number of cells was plotted in graph. The number of cells counted ranged from 9 to 217 per group.

# 19 Counting of $\gamma$ H2AX <sup>high</sup> or $\gamma$ H2AX <sup>low</sup> cells

1	Image J software program was used to count $\gamma$ H2AX <sup>high</sup> or $\gamma$ H2AX <sup>low</sup> expressing cells. First, the DAPI images
2	were used to count the number of cell nuclei per image, representing the total number of cells per image. Next,
3	anti-yH2AX antibody-stained images were converted into 8-bit grayscale images, and thresholding tool of the
4	Image J software program, was used to separate the cells with low or high accumulation of $\gamma$ H2AX, by
5	separating the pixels that fell within a desired range of intensity values from those that did not. The criteria
6	used for the $\gamma$ H2AX <sup>high</sup> or $\gamma$ H2AX <sup>low</sup> was as follows: the cells in which the distribution of pixel intensity below
7	240 was classified as total number of $\gamma$ H2AX expressing cells ( $\gamma$ H2AX <sup>high</sup> + $\gamma$ H2AX <sup>low</sup> ), and the cells with
8	the pixel intensity $\geq$ 235 was classified as $\gamma$ H2AX <sup>high</sup> . For counting $\gamma$ H2AX <sup>low</sup> cells, the number of $\gamma$ H2AX <sup>high</sup>
9	cells was subtracted from the number of $\gamma$ H2AX <sup>high</sup> + $\gamma$ H2AX <sup>low</sup> . The ratio of $\gamma$ H2AX <sup>high</sup> or $\gamma$ H2AX <sup>low</sup> within
10	total number of cells was plotted in graph. The number of cells counted ranged from 9 to 217 per group.

## 12 Statistical analysis

All results are expressed as the mean +/- SD. Statistical analyses, unpaired Student's *t*-test or two-way ANOVA,
 were performed using GraphPad Prism 8 (GraphPad Software Inc., California San Diego, USA). *P* value of
 <0.05 was considered significant.</li>

#### 1 **Results**

## 2 Defining the effective concentration of Olaparib for BRCA mut cell killing

3 To decide the effective concentration of PARPi, Olaparib, especially for BRCA mut cell killing, two TNBC 4 cell lines, HCC1937 (BRCA mut cell line) and MDA-MB-231 (BRCA wt cell line), were used in this study. 5 HDS assay (Figure 1A) showed that the survival rate of HCC1937 was significantly lower than that of MDA-6 MB-231 at any concentration (5, 25, 100, 300, or 1000 nM Olaparib) (Figure 1B, C). The difference in cell 7 killing between HCC1937 vs. MDA-MB-231 was the most apparent at 25 nM Olaparib, 79% reduction in 8 HCC1937 and 24% reduction in MDA-MB-231 were observed in cells treated with 25 nM Olaparib. Thus, 25 9 nM Olaparib would be the most effective concentration for selective killing of HCC1937 (BRCA mut) cell 10 compared to killing of MDA-MB-231 (BRCA wt), and this would be appropriate concentration to use further 11 in this study. Additionally, we observed that 100, 300, or 1000 nM Olaparib was too high to use as a PARPi, 12 because the survival rate was drastically reduced even in MDA-MB-231 that were not inherently highly sensitive (BRCA wt) to PARPi (Figure 1B, C). 13

The main objective of this study was to examine the effects of a combination of Olaparib and radiation on BRCA mut cell killing, preferentially by using Olaparib at concentrations wherein Olaparib by itself induced low, if any, cytotoxic effects. Therefore, we decided to use two Olaparib concentrations, the moderately effective dose, 5 nM, and the most effective dose, 25 nM, and examine the effectiveness of Olaparib as a radio-sensitizer in combination with X-ray or C-ion radiation.

1 Olaparib enhanced radio-sensitivity of HCC1937 to X-ray irradiation

2 First, the combined effect of Olaparib with X-ray irradiation was determined. X-ray irradiation by itself 3 reduced survival rate of both cell lines, HCC1937 and MDA-MB-231, in a dose-dependent manner (Upper 4 panel of Figure 2A and Figure 2B, respectively). Treatment of cells with 5 nM Olaparib, the moderate effective 5 dose, tended to increase the radio-sensitivity (tended to reduce the survival fraction) of only the X-ray 6 irradiated HCC1937 (BRCA mut) and not MDA-MB-231 (Middle panel of Figure 2A, 2C). In contrast, the 7 most effective dose of Olaparib, 25 nM, showed significantly higher effectiveness on upregulating radio-8 sensitivity of 1 Gy, or 2 Gy-X-ray irradiated HCC1937 cells compared to that of no Olaparib treatment group 9 (Lower vs Upper panel of Figure 2A, Figure 2C) while no such significant increase in radio-sensitivity was observed in X-ray-irradiated MDA-MB-231 with 5 or 25 nM Olaparib (Lower vs Upper panel of Figure 2B, 10 11 Figure 2D). These data suggest that the administration of Olaparib at an appropriate concentration, 25 nM, to 12 HCC1937 (BRCA mut) produces a sensitizing effect to X-ray irradiation. In contrast, such effects were not obtained in MDA-MB-231, indicating that Olaparib could selectively sensitize BRCA1mutated cancer cells to 13 14 X-ray irradiation.

15

16 Low-dose of Olaparib was effective in increasing radio-sensitivity of HCC1937 irradiated with C-ion beam
17 Next, we examined a sensitizing effect of Olaparib in C-ion irradiated cells. As observed in the case of X-rays,
18 C-ion beam itself also reduced the survival fraction of both HCC1937 and MDA-MB-231 cell lines in a dose
19 dependent manner (Upper panel of Figure 3A and Figure 3B, respectively). Importantly, in contrast to X-ray

1	radiation, 5 nM Olaparib, the moderate effective dose, showed drastic effect on cell killing of 0.5 Gy or 1 Gy-
2	irradiated HCC1937 compared to the no Olaparib treatment group, indicating that this moderate dose can
3	elevate radio-sensitivity of HCC1937 to 0.5 Gy or 1 Gy-C-ion irradiation (Middle vs Upper panel of Figure
4	3A, Figure 3C). In addition, 25 nM Olaparib also showed similar significant effects on reducing surviving
5	fraction of 0.5 Gy or 1 Gy- C-ion irradiated HCC1937 (Lower vs Upper panel of Figure 3A, Figure 3C). In the
6	case of MDA-MB-231 (BRCA wt), there was no significant difference in the survival rate at any Olaparib
7	concentration (Figure 3B, Figure 3D), indicating that Olaparib had less effects on enhancing their radio-
8	sensitivity.
9	Since lower dose (5 nM) of Olaparib, had a significant effect in increasing the sensitivity of HCC1937
10	to C-ion radiation compared to X-ray radiation, Olaparib was suggested as an effective radio-sensitizer for
11	BRCA mut TNBC, especially in combination with C-ion radiation.
12	
13	X-ray or C-ion radiation induced similar number of $\gamma$ H2AX positive HCC1937 cells with higher induction
14	levels in C-ion irradiated HCC1937 with greater effectiveness of Olaparib
15	Our results thus far showed that the administration of Olaparib after C-ion irradiation had a noticeable
16	sensitizing effect on HCC1937 (BRCA mut) with lower concentration of Olaparib, 5 nM, compared to X-ray
17	irradiation. Thus, Olaparib was suggested as an effective radio-sensitizer specially for C-ion radiation. It is
18	well known that C-ion beam can cause about 2 fold greater cytotoxicity than X-ray radiation in cancer cells <sup>26,</sup>
19	<sup>27</sup> . Consistently, we observed that 2 Gy X-ray radiation or 1 Gy C-ion radiation (half the radiation dose

1	compared to the dose of X-ray), exerted similar effects on HCC1937 surviving fraction, which were $41\pm26\%$
2	for 2 Gy X-ray irradiated and 41±23% for 1 Gy C-ion irradiated HCC1937, respectively (Figure 2C, Figure
3	3C), indicated that C-ion beam exerted about 2 fold higher cytotoxicity in HCC1937 cells. Thus, we
4	hypothesized that 2 Gy X-ray radiation and 1 Gy C-ion radiation can also give similar cytotoxic effects via
5	comparable induction of DNA damage. To clarify this, we irradiated HCC1937 with 2 Gy X-ray or 1 Gy C-
6	ion beam, and examined the number of $\gamma$ H2AX (a marker of DNA DSB) induced cells. As expected, the
7	number of $\gamma$ H2AX positive cells was comparably increased in both groups; 80±9% or 96±6% cells within total
8	cell number showed yH2AX induction upon 2 Gy X-ray or 1 Gy C-ion irradiation, respectively (Upper panel
9	of green staining in Figure 4A, and X-ray with Ola(-) vs C-ion with Ola(-) in Figure 4B). PARP1 is well-known
10	as a significant factor for SSB repair machinery, and PARPi, Olaparib, can trap PARP onto DNA at single-
11	strand breaks, leading to collapse in replication forks, and subsequent induction of DNA DSBs <sup>32</sup> .
12	Once DSB are formed, DSB repair system such as HR and NHEJ are known to be activated <sup>33</sup> . Since
13	HCC1937 is a HR-deficient tumor (BRCA mutant), thus NHEJ would be the alternative machinery to repair
14	the DSB in these cells. PARP is thought to play a role in NHEJ in 2 Gy X-ray or 1 Gy C-ion irradiation in
15	HCC1937, and thus, we further examined the level of poly (ADP-ribose) polymer, a marker for PARP1 activity
16	in these cells (Red staining of upper panel in Figure 4A). Surprisingly, the number of polymer positive cells
17	were significantly increased further upon 1 Gy C-ion irradiation compared to 2 Gy X-ray irradiated HCC1937,
18	at 89±19% and 64±12%, respectively (Figure 4B: Black bar of C-ion with Ola(-) vs Black bar of X ray with
19	Ola(-), $P = 0.048$ ), indicated that DNA repair system with PARP was highly activated specially in C-ion

1	irradiated HCC1937. Furthermore, moderate dose (5nM) of Olaparib, when combined with 1 Gy C-ion
2	irradiation, drastically reduced the number of polymer positive cells (19±19%), an effect that is not observed
3	in combination with 2Gy X-ray irradiation (64±9%) (Red staining of middle panel in Figure 4A, and Figure
4	4B: C-ion with Ola (5 nM) vs X ray with Ola (5 nM), $P = 0.001$ ). Overall, the results indicate that PARP plays
5	an important role in the DSB repair system (NHEJ) and is highly activated especially in C-ion irradiated
6	HCC1937, and thus, even a moderate dose of PARPi, Olaparib (5 nM), could exert significant cell killing in
7	C-ion irradiated HCC1937 as we have observed in Figure 3C.
8	We show that higher level of PARP activity was induced in 1 Gy C-ion than 2 Gy X-ray irradiated
9	cells, however, at this point, the mechanism involved is still unclear, since the number of $\gamma$ H2AX positive cells
10	were comparable between 1 Gy C-ion and 2Gy X-ray irradiation (Figure 4B), suggesting that the number of
11	DSB positive cells were similar between these two groups. In order to delineate this discrepancy in PARP
12	activity, we further evaluated the difference in expression levels of $\gamma$ H2AX in each group as opposed to simply
13	counting the number of $\gamma$ H2AX-positive cells (Figure 4C). As a result, a majority of $\gamma$ H2AX-positive cells
14	found in 1 Gy C-ion irradiated HCC1937, which was 81% of the total number of $\gamma$ H2AX-positive cells, were
15	$\gamma$ H2AX <sup>high</sup> , whereas only 48% of $\gamma$ H2AX-positive cells were $\gamma$ H2AX <sup>high</sup> in 2 Gy X-ray irradiated cells (Figure
16	4D: Black bar of C-ion with Ola(-) vs Black bar of X-ray with Ola(-), respectively). Thus, these data suggest
17	that although the number of $\gamma$ H2AX-positive cells induced via 1 Gy C-ion or 2Gy X-ray radiation was similar,
18	their induction of $\gamma$ H2AX, $\gamma$ H2AX <sup>high</sup> or $\gamma$ H2AX <sup>low</sup> , was apparently different between these radiation types;.1
19	Gy C-ion radiation efficiently induced higher number of γH2AX <sup>high</sup> cells than 2 Gy X-ray radiation did. Higher

1	induction of $\gamma$ H2AX in C-ion irradiated HCC1937 indicated the greater number of DSB within the cell, and
2	subsequent induction of NHEJ machinery. Greater activation of NHEJ may lead to increased activation of
3	PARP as we have observed in C-ion irradiated HCC1937, thus making PARP inhibition using Olaparib, very
4	effective in killing these cells.

## 1 Discussion

2	In this study, we used TNBC cell lines, MDA-MB-231 (BRCA wt) or HCC1937 (BRCA mut), to investigate
3	the effectiveness of PARPi, Olaparib, as a radio-sensitizer for X-ray or C-ion beam. Irradiation of these cells
4	leads to severe DNA damage and hence, activation of the DNA repair system is critical for cell survival.
5	However, cells such as HCC1937, harboring a BRCA mutation are unable to activate HR, hence, these cells
6	use alternative repair pathways, such as NHEJ. Here, we show that 1 Gy C-ion radiation exerted significant
7	induction of DSBs, leading to $\gamma$ H2AX <sup>high</sup> cells, in HCC1937 (BRCA mut) with significant activation of PARP.
8	Such noticeable activation of PARP was not observed in X-ray irradiated HCC1937. As a result, the
9	effectiveness of PARPi, Olaparib, was observed specifically in C-ion irradiated HCC1937 compared to X-ray
10	irradiated HCC1937.
11	PARP1 is an essential factor for SSB repair <sup>34</sup> . Trapping PARP at SSB via Olaparib, can cause the
12	impairment in replication forks leading to induction of one-ended DNA DSB <sup>28</sup> . It is known that such one-
13	ended DNA DSB can only be repaired by HR, thus Olaparib is cytotoxic to BRCA1/2 mut cancers, whose HR
14	machinery is defective as a result of the BRCA1 gene mutation. <sup>7,13</sup> . Irradiation of cells can induce both SSB
15	and DSB <sup>35</sup> . Thus, Olaparib treatment of these irradiated cells leads to accumulation of DSBs because Olaparib
16	inhibits the SSB repair mechanism concurrently leading to the formation of more DSBs from unrepaired SSBs.
17	However, notably, results in this study showed that Olaparib treatment of X-ray or C-ion irradiated HCC1937
18	did not induce additional DSB positive cells (γH2AX-positive cells) (Figure 4A, 4B); treatment of irradiated

1	positive cells) within total imaged cells (Middle and Lower panel of green staining in Figure 4A, and Ola(5
2	nM) or (25 nM) vs Ola(-) in Figure 4B). Concomitantly, additional induction of $\gamma$ H2AX <sup>high</sup> cells upon Olaparib
3	treatment was also absent in these irradiated HCC1937 (Figure 4D). Thus, the activated PARP induced in these
4	irradiated cells may have another function other than SSB repair. It has been recently reported that PARP1 also
5	plays a significant role in DSB repair via NHEJ <sup>10</sup> . To proceed with DNA repair using the NHEJ machinery,
6	alterations in chromatin structure are required to promote the assembly of repair complexes on broken DNA.
7	This alteration of chromatin structure is known to be initiated by PARP associated with DNA damage, which
8	then recruits the chromatin remodeler, CHD2, through a poly(ADP-ribose)-binding domain <sup>8, 10</sup> . Interestingly,
9	we have found in the present study that X-ray or C-ion radiation can induce similar number of $\gamma$ H2AX positive
10	HCC1937 cells, but these induction levels were higher in C-ion irradiated HCC1937. Consistently, PARP
11	activation was also higher in these C-ion irradiated HCC1937 compared to that in X-ray irradiated cells.
12	Therefore, it was indicated that C-ion induced significant DSBs, causing HCC1937 (BRCA mut) cells to repair
13	DSB via NHEJ with PARP function, thus PARP was highly activated specially in C-ion irradiated HCC1937.
14	Thus, Olaparib could show greater effectiveness as a radio-sensitizer especially for C-ion irradiated HCC1937.
15	In the case of X-ray irradiated HCC1937, no significant differences in PARP activation were observed with
16	5nM Olaparib treatment; the proportion of Polymer (+) cells within total cells as well as the intensity of
17	polymer staining within each cells were unchanged between X-ray Ola(-) group and X-ray Ola(5nM) group
18	(Data not shown). Thus, further experiments were needed to clarify the reason why the effects of 5nM Oliparib
19	were absent specially in 2Gy X-ray irradiated cells.

1	Recently, Ma et al. have reported that inhibiting NHEJ pathway could significantly enhance
2	radiosensitivity of human cancer cells to C-ion irradiation, rather than blocking HR pathway <sup>36</sup> , suggesting that
3	NHEJ may be the significant DNA repair pathway for C-ion irradiated. NHEJ functions via two sub-pathways,
4	the fast D pathway and the relatively slow B pathway <sup>34</sup> . Analysis of DSB rejoining using gel electrophoresis
5	revealed fast components as well as slower components of NHEJ; the fast components include DNA-PKcs,
6	Ku70/Ku80, DNA ligase IV, or XRCC4, and form a part of classical NHEJ (D-NHEJ), whereas, if any of these
7	factors delays processing, cells alternatively use the slowly operating, backup pathway (B-NHEJ) to repair
8	DSBs <sup>34, 37, 38</sup> . It is known that D-NHEJ is the main arm of NHEJ activated in mammalian cells <sup>34</sup> , whereas B-
9	NHEJ is likely to be an evolutionarily older pathway that rejoins DNA ends with kinetics of several hours <sup>39</sup> .
10	Interestingly, however, it has been reported that irradiation of mammalian cells can induce both D-NHEJ and
11	B-NHEJ pathways for DSBs repair <sup>34, 39</sup> . Thus, it is possible that both NHEJ sub-pathways may be activated in
12	irradiated HCC1937 cells. As mentioned earlier, PARP1 has a role in altering chromatin structure to initiate
13	NHEJ, but importantly, additional significance of PARP1 in B-NHEJ has also been reported <sup>34</sup> . In B-NHEJ
14	pathway, activated PARP1 is thought to bind to the site of DSB and recruit several DNA repair genes including
15	DNA damage sensors meiotic recombination 11 (Mre11) and Nijmegen breakage syndrome protein 1 (Nbs1)
16	to the sites of DSBs. Mre11 then interacts with Rad50 and form MRN (Mre11-Rad50-Nbs1) complex, which
17	proceeds to DSB repair via B-NHEJ <sup>40,41</sup> . In this study, we have not investigated whether such B-NHEJ or D-
18	NHEJ were functional in C-ion irradiated HCC1937. But, it is clear that C-ion significantly induced yH2AX,
19	with noticeable PARP activation. Thus, it is possible that NHEJ with both B-NHEJ and D-NHEJ sub-pathways

1	were highly activated in C-ion irradiated HCC1937 as reported in other irradiated cells <sup>39</sup> , which induced
2	drastic PARP activation to regulate chromatin structure for initiating NHEJ, as well as proceeding with the B-
3	NHEJ sub-pathway <sup>34</sup> . It would be intriguing to further study whether NHEJ and its sub-pathways were highly
4	activated in BRCA mut TNBC upon irradiation, and it would also be interesting to further study the difference
5	in NHEJ activation, with regard to B or D sub-pathway activation, between C-ion and X-ray irradiated cells.
6	PARP1 is overexpressed in a variety of cancers, including ovarian, prostate and breast cancers as
7	well as glioblastomas <sup>42-48</sup> , and PARP inhibitors, such as Olaparib, are effective for the treatment of ovarian
8	and breast cancers with BRCA1/2 mut <sup>48, 49</sup> . From the present study, we find that C-ion irradiation can exert
9	significant amount of DSB in such BRCA mut TNBC with high PARP activation. Thus, PARPi, Olaparib,
10	would be a promising candidate as a radio-sensitizer for BRCA mut TNBC treatment especially with C-ion
11	radiotherapy.

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6	
7	Disclosure Statement

- 8 The authors declare that they have no competing financial interests.
- 9
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4	Registry, Ministry of Health, Labour and Welfare).
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# **Figure legends**

4	Figure 1. Defining the effective concentration of Olaparib for BRCA mut cell killing
5	(A) Protocol for HDS assay is summarized. (B) Surviving fractions of breast cancer cell lines, HCC1937 and
6	MDA-MB-231, upon treatment with various concentrations, 5 to 1000 nM, of Olaparib, were determined using
7	HDS assay, and were shown in graph. Data was normalized to control and shown as mean ±SD of triplicate
8	samples. **P<0.05, ***P<0.001. For the statistical analysis, unpaired Student's t-test was performed using
9	GraphPad Prism 8. (C) Representative images of HCC1937 and MDA-MB-231 cells treated with Olaparib are
10	shown. Scale bar, 50 μm.
11	
12	Figure 2. Olaparib increased radio-sensitivity of X-ray irradiated HCC1937 but not MDA-MB-231
13	Representative images of HCC1937 (A) and MDA-MB-231 (B) treated with X-ray irradiation and Olaparib,
14	and corresponding HDS survival curves of HCC1937 (C) and MDA-MB-231 (D) were shown in graph,
15	respectively. Scale bar, 50 $\mu$ m. Data in the graph show the mean ±SD of triplicate samples. *** < 0.001. For
16	the statistical analysis, two-way ANOVA was performed using GraphPad Prism 8.
17	
18	Figure 3. Low dose of Olaparib showed effectiveness as a radio-sensitizer to C-ion radiation for
19	HCC1937 but not for MDA-MB-231
20	Representative images of HCC1937(A) and MDA-MB-231(B) treated with C-ion beam irradiation and
21	Olaparib and corresponding HDS survival curves of HCC1937 (C) and MDA-MB-231 (D) were shown in
22	graphs, respectively. Scale bar, 50 $\mu$ m. Data in the graph show the mean ±SD of triplicate samples. **< 0.01,
23	***< 0.001. For the statistical analysis, two-way ANOVA was performed using GraphPad Prism 8.
24	
25	Figure 4. X-ray or C-ion radiation induced similar number of $\gamma$ H2AX positive HCC1937 cells but
26	induction levels were higher in C-ion irradiated HCC1937 with greater effectiveness of Olaparib

1	HCC1937 cells were irradiated with either 2 Gy X-rays or 1Gy C-ion beams followed by 6 hours treatment
2	with Olaparib at 5 nM or 25 nM. Cells were then fixed and immunofluorescence labelled with anti- $\gamma$ H2AX
3	antibody (the marker for DSB), and anti-PAR polymer antibody (the marker for PARP activity). Representative
4	images of not irradiated, X-ray irradiated, or C-ion beams irradiated HCC1937 with Olaparib treatment are
5	shown in (A). Scale bar, 50 $\mu$ m. (B) The number of $\gamma$ H2AX (+) with PAR polymer (+) cells (Black bar), or the
6	number of $\gamma$ H2AX (+) with PAR polymer (-) cells (white bar) were counted and shown in graph. Data in the
7	graph show the mean $\pm$ SD of triplicate samples. (C) Method used to count $\gamma$ H2AX <sup>high</sup> , or $\gamma$ H2AX <sup>low</sup> cells using
8	the ImageJ software is shown. (D) $\gamma$ H2AX <sup>high</sup> cells or $\gamma$ H2AX <sup>low</sup> cells were counted and percent of each
9	populations were shown in graph (Black bar shows $\gamma$ H2AX <sup>high</sup> cells, and white bar represents $\gamma$ H2AX <sup>low</sup> cells,
10	respectively). Data in the graph show the mean ±SD of triplicate samples. For the statistical analysis, unpaired
11	Student's t-test was performed using GraphPad Prism 8.
10	

#### Figure 1

### A

Overview of HDS assay Day 8 Day 0 Day 3 Irradiation x1/8 passage Counting cell # Cells in T25 flask (50 to 60% confluency) Cells were plated onto new T25 flask Cells were photographed, trypsinized, and counted Ola (-) group Cells were photographed, trypsinized, and counted Cells were plated onto new T25 flask Cells in T25 flask Ola (+) group (50 to 60% confluency) ⊏\$Add Olaparib after IR 🖒 Add Olaparib



2

#### Figure 1

С













A

HCC1937		C-ion			
Olaparib	No IR	0.5 Gy	1 Gy	1.5 Gy	2 Gy
(nM) 0				1997 - 1997 1997 - 1997 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1	
5					ė. <u></u>
25		ું ે ૦.		· · · · · · ·	**************************************



Figure 3













#### Figure 4

D

